

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

10012257

APPLICATION NO.

CONFIRMATION NO.

APPLICANT

Yasusi Kanada and Brian J. O'Keefe

FILING DATE

April 26, 2001

GROUP

2143

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
	1A				
	1B				
	1C				
	1D				
	1E				
	1F				
	1G				
	1H				
	1I				
	1J				
	1K				

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## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	TRANSLATION	
						YES	NO
	1L						
	1M						
	1N						
	1O						
	1P						

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

PW	1Q	Stardust.com, Inc., Introduction to QoS Policies (July 1999), (visited 2/21/01) < <a href="http://www.stardust.com/policy/whitepapers/qospol.htm/">http://www.stardust.com/policy/whitepapers/qospol.htm/</a> >
	1R	Internet Draft, Internet Engineering Task Force, An Architecture for Differentiated Services (December 1998), (visited 2/21/01) < <a href="http://www.ietf.org/">http://www.ietf.org/</a> >
	1S	Internet Draft, Internet Engineering Task Force, An Expedited Forwarding PHB (June 1999), (visited 2/21/01) < <a href="http://www.ietf.org/">http://www.ietf.org/</a> >

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DATE CONSIDERED

8/30/04

## PATENT APPLICATION

Sheet 2 of 2

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ATTY. DOCKET NO.

10012257-1

APPLICATION NO.

09/842,130

CONFIRMATION NO.

APPLICANT

Yasusi Kanada et al.

FILING DATE

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UNK 2143

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
PN	2A	5,870,561	Feb. 09, 1999	Jarvis et al.	
	2B	09/382,176	Aug. 24, 1999	Fredrick Roeling	
	2C	09/382,171	Aug. 24, 1999	Hugh F. Mahon et al.	
	2D	09/382,172	Aug. 24, 1999	Hugh F. Mahon et al.	
	2E	09/382,059	Aug. 24, 1999	Hugh F. Mahon et al.	
	2F	09/382,175	Aug. 24, 1999	Hugh F. Mahon et al.	
	2G	09/479,206	Jan. 07, 2000	Jason Goldman et al.	
PN	2H	09/479,205	Jan. 07, 2000	Jason Goldman et al.	

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		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	1L					X
	1M					
	1N					
	1O					
	1P					

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	1Q	
	1R	
	1S	

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Sheet 1 of 3

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EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
PN	1A	5,812,819	Sept. 22, 1998	Rodwin et al.	
	1B	6,233,686	May 15, 2001	Zenehelsky et al.	
	1C	6,105,027	Aug. 15, 2000	Schneider et al.	
	1D	6,021,438	Feb. 01, 2000	Duvvooori et al.	
	1E	6,041,347	March 21, 2000	Harsham et al.	
	1F	6,064,656	May 16, 2000	Angal et al.	
	1G	6,167,445	Dec. 26, 2000	Gai et al.	
	1H	6,158,010	Dec. 05, 2000	Moriconi et al.	
	1I	5,555,346	Sept. 10, 1996	Gross et al.	
	1J	6,237,036	May 22, 2001	Ueno et al.	
PN	1K	5,889,953	May 30, 1999	Thebaut et al.	

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		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
PN	1L	WO 96/2134	Nov.07, 1996	Olli Finni		
	1M					
	1N					
	1O					
	1P					

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

1Q	
1R	
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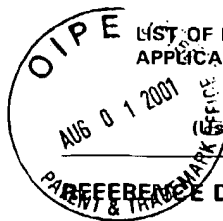
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pw	2Q	Internet Draft, Internet Engineering Task Force, Assured Forwarding PHB Group (June 1999), (visited 2/21/01) < <a href="http://www.ietf.org/">http://www.ietf.org/</a> >
pw	2R	Internet Draft, Internet Engineering Task Force, A Two-bit Differentiated Services Architecture for the Internet (July 1999), (visited 2/21/01) < <a href="http://www.ietf.org/">http://www.ietf.org/</a> >
pw	2S	Internet Draft, Internet Engineering Task Force, SNMP-based QoS Programming Interface MIB for Routers (October 1999), (visited 2/21/01) < <a href="http://www.kanadas.com/activenet/index.html/">http://www.kanadas.com/activenet/index.html/</a> >

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APPLICATION NO.

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LIST OF PATENTS AND PUBLICATIONS FOR  
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	3N						
	3O						
	3P						

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

fn	3Q	Yasusi Kanada, Two Rule-based Building-block Architectures for Policy-based Network Control, 2nd International Working Conference on Active Networks (IWAN 2000), October 2000
	3R	
	3S	

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8/20/04